

SYSTEM OR ARCHITECTURE FOR SECURE MAIL TRANSPORT AND VERIFIABLE DELIVERY, OR APPARATUS FOR MAIL SECURITY

PTO/SB/09 (12-97)

Approved for use through 9/30/00 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR	Docket Number (Optional)																		
<p>Applicant, Patentee, or Identifier: <u>W. Stephen G. Mann</u></p> <p>Application or Patent No.: _____</p> <p>Filed or Issued: <u>August 27, 2001</u></p> <p>Title: <u>SYSTEM OR ARCHITECTURE FOR SECURE MAIL TRANSPORT AND VERIFIABLE DELIVERY, OR APPARATUS FOR MAIL SECURITY</u></p> <p>As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input checked="" type="checkbox"/> the application identified above.</p> <p><input checked="" type="checkbox"/> the patent identified above.</p> <p>I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:</p> <p><input checked="" type="checkbox"/> No such person, concern, or organization exists.</p> <p><input type="checkbox"/> Each such person, concern, or organization is listed below.</p> <p>Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p> <table style="width: 100%; margin-top: 20px;"> <tr> <td style="width: 33%;"><u>W. Stephen G. Mann</u></td> <td style="width: 33%;"></td> <td style="width: 33%;"></td> </tr> <tr> <td>NAME OF INVENTOR</td> <td>NAME OF INVENTOR</td> <td>NAME OF INVENTOR</td> </tr> <tr> <td><u>Steve Mann</u></td> <td></td> <td></td> </tr> <tr> <td>Signature of inventor</td> <td>Signature of inventor</td> <td>Signature of inventor</td> </tr> <tr> <td><u>August 27, 2001</u></td> <td></td> <td></td> </tr> <tr> <td>Date</td> <td>Date</td> <td>Date</td> </tr> </table>		<u>W. Stephen G. Mann</u>			NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR	<u>Steve Mann</u>			Signature of inventor	Signature of inventor	Signature of inventor	<u>August 27, 2001</u>			Date	Date	Date
<u>W. Stephen G. Mann</u>																			
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR																	
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SYSTEM OR ARCHITECTURE FOR SECURE MAIL TRANSPORT AND VERIFIABLE DELIVERY, OR APPARATUS FOR MAIL SECURITY

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	
	First Named Inventor	W. Stephen G. Mann
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	August 27, 2001
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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the specification of which ☒ is attached hereto OR ☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
2,248,473	Canada	Oct 29/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2,256,922	Canada	Dec 31/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2,264,973	Canada	Mar 15/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2,280,022	Canada	Jul 28/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	W. Stephen G. Mann				
Address	284 Bloor Street West, Suite 701				
Address					
City	Toronto	State	Ontario	ZIP	M5S 3B8
Country	Canada	Telephone	(416) 946-3387	Fax	(416) 971-2326

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
W. Stephen G.		Mann	
Inventor's Signature	[Signature]		Date
Residence: City	Toronto	State	Ontario
Country	Canada	Citizenship	Canada
Post Office Address	same as above		
Post Office Address			
City		State	
ZIP		Country	

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto